FORM 4

obligations may continue. See Instruction 1(b).

Check this box if no longer subject to Section 16. Form 4 or Form 5

## **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Vashington, I	D.C.	20549
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## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

Name and Address of Reporting Person*     Tontat Tony						2. Issuer Name <b>and</b> Ticker or Trading Symbol <u>Kiromic Biopharma, Inc.</u> [ KRBP ]									Relationship of Reporting Person(s) to Issuer (Check all applicable)     Director 10% Owner				
	(Fi OMIC BIO NNIN, SUI		3. Date of Earliest Transaction (Month/Day/Year) 12/18/2020										r (give title		Other (s below)	·			
(Street) HOUST(	ON T	Χ	77054 (Zip)			4. If Amendment, Date of Original Filed (Month/Day/Year) 01/06/2021							Line	ndividual or Joint/Group Filing (Check Applicable e)  X Form filed by One Reporting Person Form filed by More than One Reporting Person					
		Tab	le I - Non	-Deriv	ative	Se	curit	ies Ad	quir	ed, D	isp	osed c	of, or Be	neficial	ly Owne	d			
1. Title of Security (Instr. 3)  2. Transac Date (Month/Date)					Execution Date,			Transaction Code (Instr.		4. Securi Disposed 5)	ities Acquir d Of (D) (Ins	ed (A) or etr. 3, 4 and	Benefic	es Fo ially (D Following (I)		n: Direct r Indirect str. 4)	7. Nature of Indirect Beneficial Ownership		
									Co	ode \	′	Amount	(A) or (D)	Price	Transac (Instr. 3	tion(s)			Instr. 4)
	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																		
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)		4. Transaction Code (Instr. 8)		n of E		Expira	6. Date Exercisable and Expiration Date (Month/Day/Year)			7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Numbe derivative Securities Beneficial Owned Following Reported Transactie (Instr. 4)	s S Ily	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership t (Instr. 4)
					Code	v	(A)	(D)	Date Exerc	cisable		opiration	Title	Amount or Number of Shares					
Employee Stock Option (right to buy)	\$8.14	12/18/2020			D			5,528	12/18	3/2020	12	2/17/2030	Common Stock	5,528	\$0	0(1)		D	

## **Explanation of Responses:**

1. This Form 4 is being filed to amend the prior Form 4 filed by the Reporting Person on January 6, 2021 (the "Original Form 4"). The Reporting Person was not issued the employee stock options (the "December Stock Options") that were noted in the Original Form 4. The "Number of derivative Securities Beneficially Owned" with respect to the December Stock Options is 0.

/s/ Tony Tontat

03/11/2021

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.