

**UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION**

Washington, DC 20549

**FORM 8-K**

**CURRENT REPORT**

Pursuant to Section 13 or 15(d) of the Securities Exchange Act of 1934

Date of Report (Date of earliest event reported): October 26, 2020

**KIROMIC BIOPHARMA, INC.**

(Exact name of registrant as specified in its charter)

**Delaware**

(State or other jurisdiction  
of incorporation)

**001-39619**

(Commission  
File Number)

**46-4762913**

(IRS Employer  
Identification No.)

**7707 Fannin, Suite 140**

**Houston, TX, 77054**

(Address of principal executive offices) (Zip Code)

Registrant's telephone number, including area code **(832) 968-4888**

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions (see General Instruction A.2. below):

- Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Securities registered pursuant to Section 12(b) of the Act:

<b>Title of Each Class</b>	<b>Trading Symbol(s)</b>	<b>Name of Each Exchange on Which Registered</b>
Common Stock, \$0.001 par value	KRBP	The Nasdaq Stock Market LLC

Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 (§230.405 of this chapter) or Rule 12b-2 of the Securities Exchange Act of 1934 (§240.12b-2 of this chapter).

Emerging growth company

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act.

**Item 7.01 Regulation FD Disclosure.**

Kiromic BioPharma, Inc. intends to conduct meetings with third parties in which its corporate slide presentation will be presented. A copy of the presentation material is attached as Exhibit 99.1 to this Form 8-K.

The information in Item 7.01 of this Current Report on Form 8-K and Exhibit 99.1 attached hereto is intended to be furnished and shall not be deemed "filed" for purposes of Section 18 of the Securities Exchange Act of 1934, as amended (the "Exchange Act"), or otherwise subject to the liabilities of that section. This information shall not be deemed incorporated by reference into any filing under the Securities Act of 1933, as amended, or the Exchange Act, except as expressly set forth by specific reference therein.

**Item 9.01 Financial Statements and Exhibit**

(d) Exhibits.

The following exhibit is filed with this Current Report on Form 8-K:

<b>Exhibit Number</b>	<b>Description</b>
<a href="#"><u>99.1</u></a>	<a href="#"><u>Corporate Presentation of Kiromic BioPharma, Inc.</u></a>

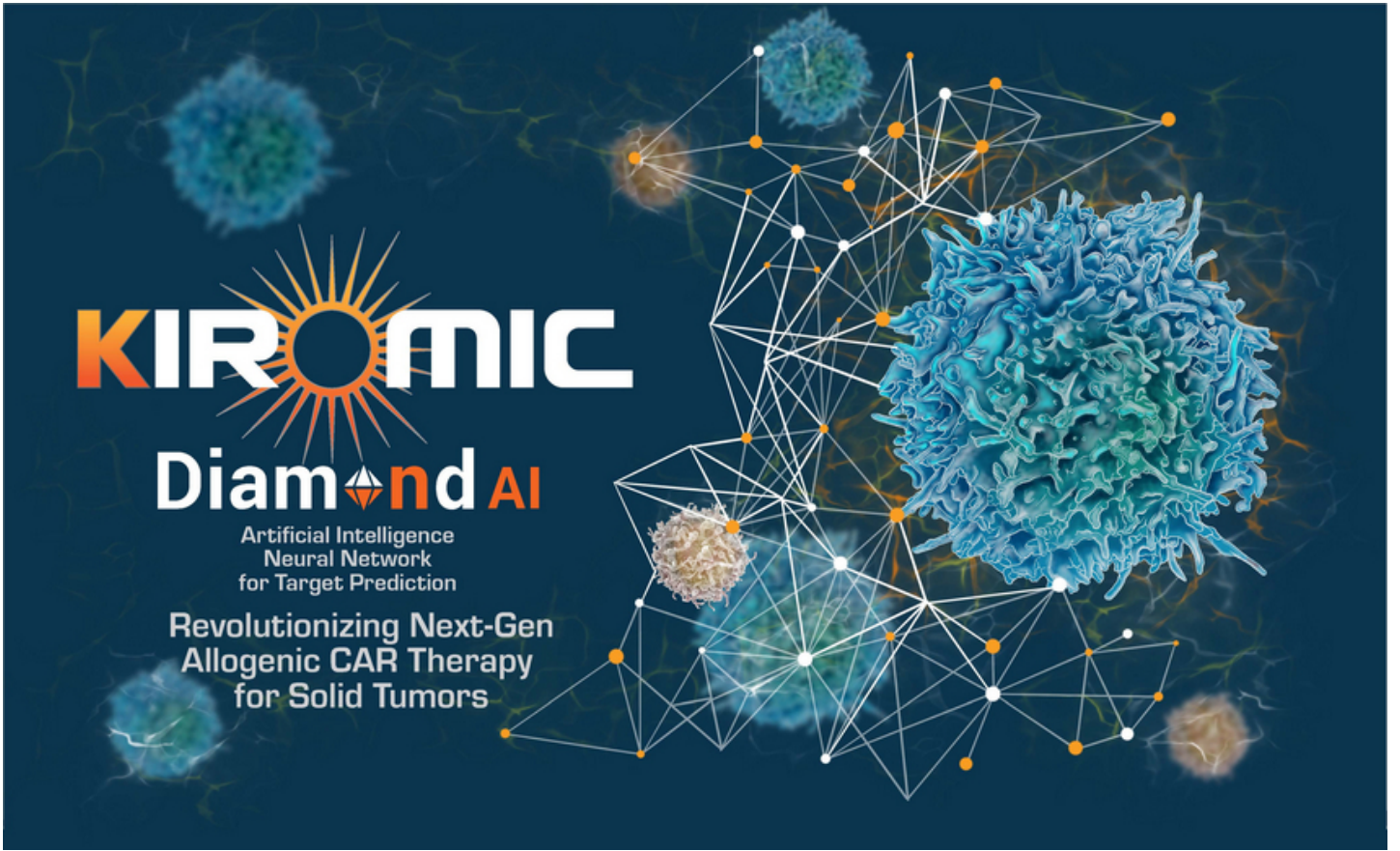
**SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

**Kiromic BioPharma, Inc.**

Date: October 26, 2020

By: /s/ Maurizio Chiriva Internati  
Maurizio Chiriva Internati  
Chief Executive Officer

The image features a dark blue background with several glowing, textured spheres in shades of blue and green, resembling cells or molecular structures. A network of white lines connects various points, some of which are highlighted with orange and white dots, creating a complex web-like structure. The text is positioned on the left side of the image.

**KIROMIC**

**Diamond AI**

Artificial Intelligence  
Neural Network  
for Target Prediction

Revolutionizing Next-Gen  
Allogenic CAR Therapy  
for Solid Tumors



## Disclaimer

This presentation is provided by Kiromic BioPharma, Inc. (the "Company") for the express purpose of giving prospective investors, bankers, employees, consultants, and corporate affiliations information regarding the Company to assist the recipient in evaluating a potential formal association with the Company. While the information contained herein or in any other materials that may be provided by the Company is believed to be true, accurate and reasonable, the Company makes no such representation or warranty, express or implied, as to the veracity, accuracy, reasonableness or completeness of such information. The Company expressly disclaims any and all liability which may be based on such information, any errors therein or omissions

therefrom. This presentation does not imply an offering of Securities. This presentation may contain forward-looking statements within the meaning of applicable securities regulations. All statements other than statements of historical facts are forward-looking statements. In some cases, forward-looking statements may be identified by the use of words such as "anticipate," "believe," "plan," "estimate," "expect," "intend," "may," "will," "would," "could," "should," "might," "potential," or "continue" and variations or similar expressions. Readers should not unduly rely on these forward-looking statements, which are not a guarantee of future performance. There can be no assurance that forward-looking statements will prove to be accurate, as all such forward-looking state-

ments involve known and unknown risks, uncertainties and other factors which may cause actual results or future events to differ materially from the forward-looking statements. Such risks include, but may not be limited to: general economic and business conditions; technology changes; competition; changes in strategy or development plans; governmental regulations and the ability or failure to comply with governmental regulations; the timing of anticipated results; and other factors referenced in the Company's business materials and prospectuses.

NASDAQ: KRBP

# Management

## CEO

Director

Maurizio Chiriva-Internati, PhD

Mr. Chiriva-Internati is an associate professor at MD Anderson Cancer Center. He has spent the past 28 years studying cancer targets and is the founder of Kiromic Artificial Intelligence Neural Network. He has published +160 articles (+peer reviews) on cancer targeting and on the use of AI to expedite the search for these targets. He holds PhD in immunology (U of Nottingham), PhD in morphological science (Milan), and a Certificate in Artificial Intelligence - M.I.T.

## CFO

Director

Tony Tontat

Mr. Tontat brings to Kiromic over 2 decades of business experience from public (NASDAQ: SRNE, NK) and privately held biotechs. He had been healthcare analysts at specialist healthcare investment funds in New York, and Connecticut. He was also an investment banker at HSBC Securities in their New York, London, and Paris offices. Bachelor of Arts in Economics - Harvard University.

## CSIO

Director

Gianluca Rotino Chief Strategy, Innovation Officer

Mr. Rotino held CEO and Chairman roles in several Italian companies specializing in high-tech, and corporate consulting. He also worked at law firms in Milan where he specialized in M&A, intellectual property prosecution and corporate law. He holds a business development degree and bachelor of science (electronics) - EBD Academy in London, and completed the drug discovery, develop. and commercialization - U.C. San Diego.

## CMO

Scott Dalhbeck, MD, PharmD

Dr. Dalhbeck was a radiation oncologist and was an adjunct professor of internal medicine, pathology, and urology at Texas Tech. He has also patented, manufactured, and commercialized IP and has more than a decade of experience in medical and oncology commerce. He holds an MD - Texas Health Science Center, and a PharmD - U of Nebraska. His residency was at Kaiser Permanente of Los Angeles.



## Diamond AI

Artificial Intelligence Neural Network  
for Target Selection

We are connecting the dots in cancer research by using AI and machine learning to connect silos of informations and arrive at cancer targets which will be more effective vs. classic development, saving man-years and billions in development dollars.



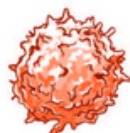
## Non-Viral Genome edit and delivery

Our single-cut gene edits carry a lower mutagenesis risk vs. classic double-cut gene edits.

Our CAR receptors will also have higher safety with an on-demand cut-off switch vs. classic CAR therapies with no off-switch.

# Kiromic at a Glance

Revolutionizing Next-Gen Allogenic CAR Therapy for Solid Tumors



## Gamma Delta T-cell Immune Cell Type

Our CAR Therapy will be using off-the-shelf Gamma-Delta T-cells and will have a higher yield and significantly lower yield variability vs. classic CAR-T therapies.



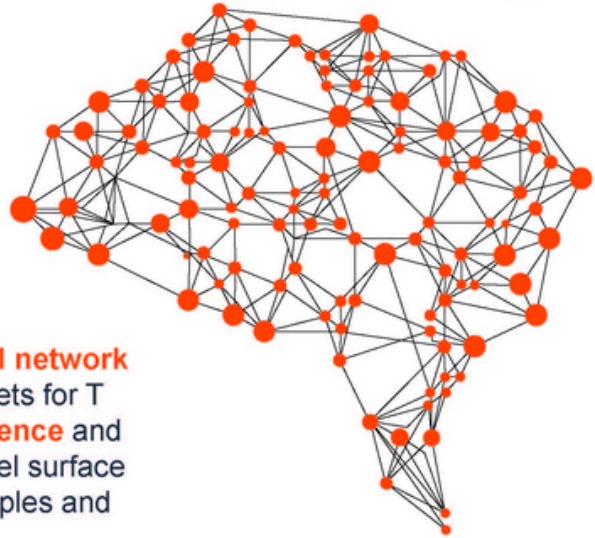
Solid Tumor

## Micro Tumor Environment

Our CAR Therapies will be able to access the micro tumor environment due to our chPD-1 check-point activator vs. classic CAR-T therapies.

Classic CAR-T are limited to hematologic indications.



The logo for KIROMIC, featuring the word "KIROMIC" in a bold, sans-serif font with a stylized sunburst icon above the letter "O".  
The logo for Diamond AI, featuring a stylized orange virus-like icon to the left of the word "Diamond" in a bold, sans-serif font, with a small blue diamond icon above the letter "d", followed by "AI" in a bold, sans-serif font.  
**Diamond AI**  
Artificial Intelligence Neural Network  
for Target Selection

Diamond is a computational platform and a **neural network** that can identify new cancer immunological targets for T cells and B cells. Diamond is an **artificial intelligence** and **machine learning** approach that can identify novel surface tumor targets. It uses public and proprietary samples and can expand into the tumor target space.

## ADVANCING CAR through A.I.





Big Data Science

**Big Data Science**  
*meets*  
**Target Identification**



Manual Target Identification

dramatically compressing

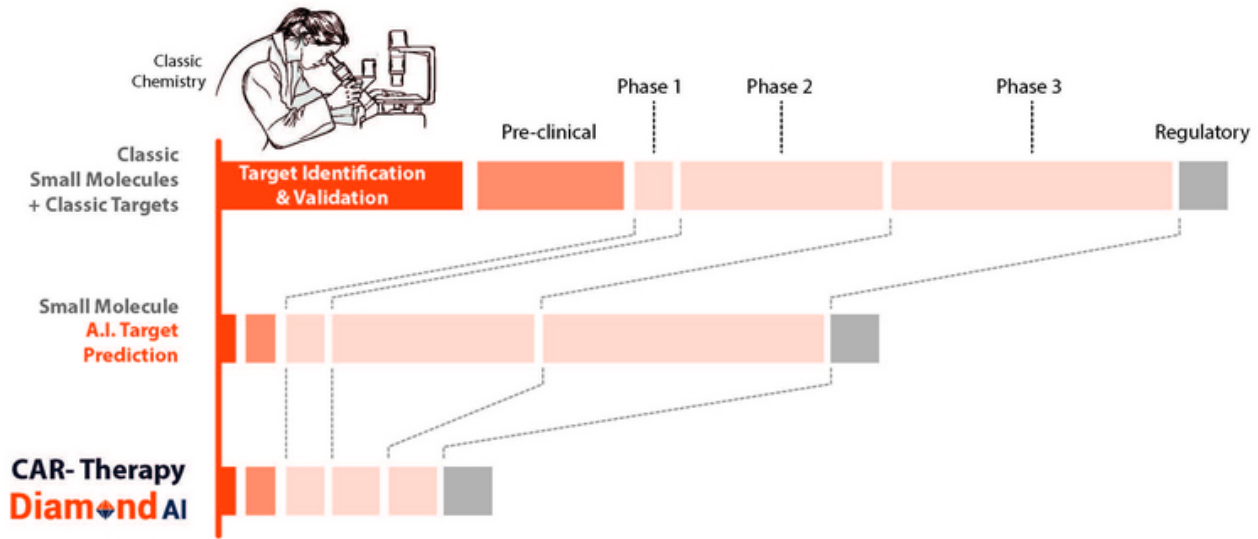
**Man-Years and Billions of Drug Development Dollars**

to develop a live drug

Artificial Intelligence Engine's

# Compression of Time & Costs

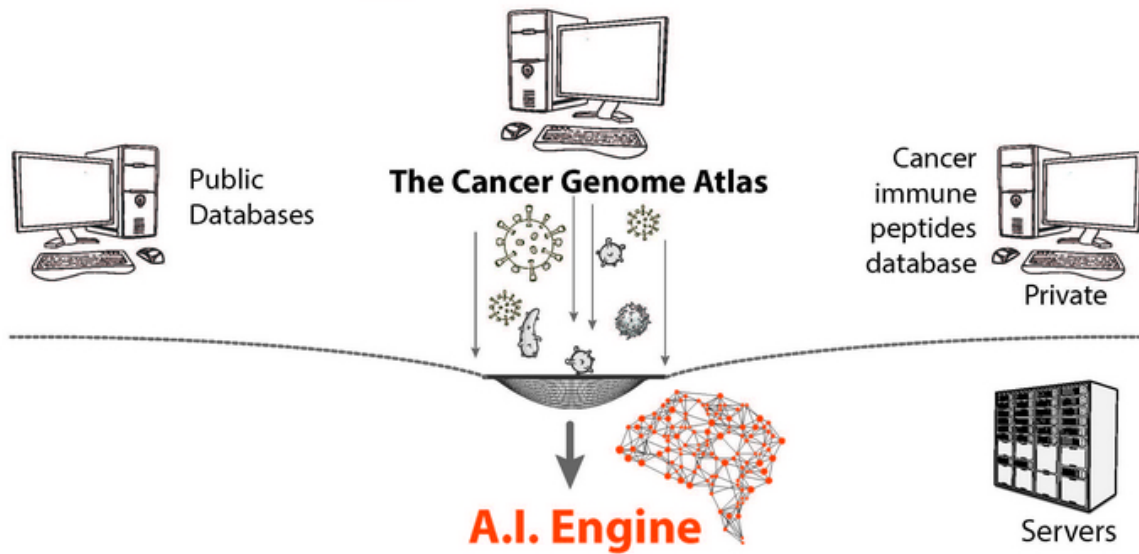
for live drug development



NASDAQ: KRBP

Step 1

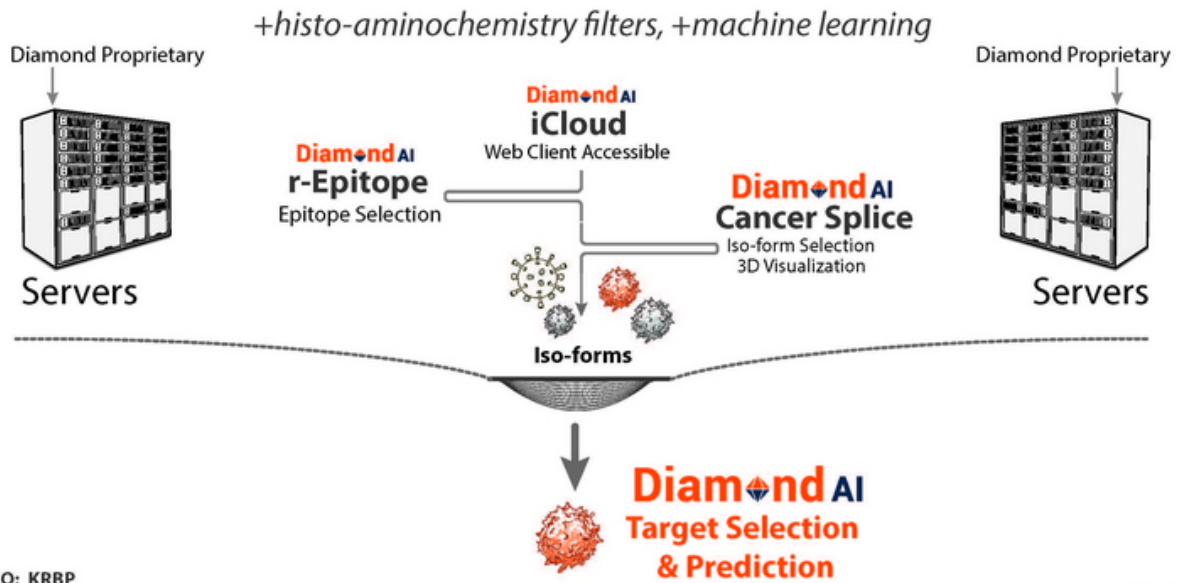
# Big Databases



# Step 2



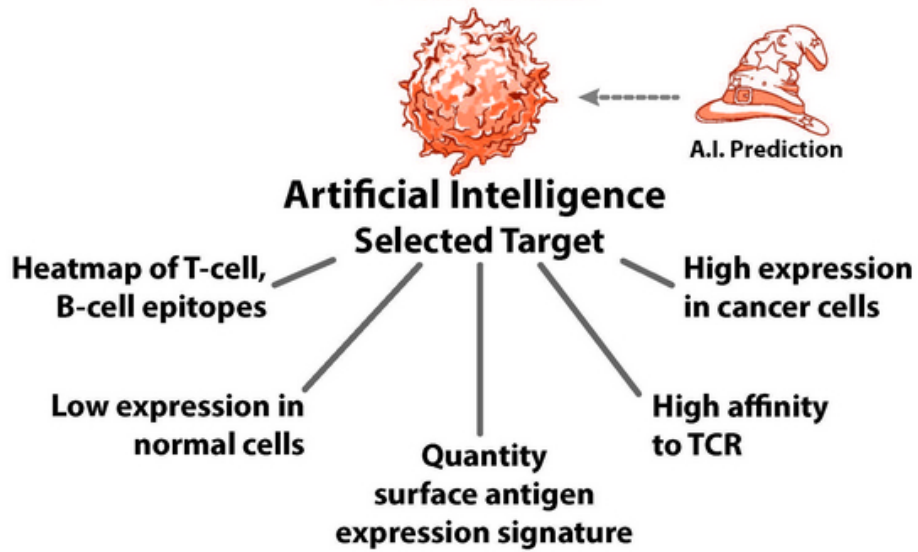
## Artificial Intelligence Engine



# Step 3

## Diamond AI

### Prediction



## Step 4



# Target Validation

*We rigorously validate all targets from our A.I. Prediction Engine  
Internal validations and then external validation*



### Wet Lab Validation

**MD ANDERSON  
CANCER CENTER**

*Baylor University*

*University of Rome*

*Humanitas Research Hospital (Milan)*



### Algorithm Validation



# Diamond AI Processes

non-exhaustive list of functions being applied by A.I. Engine

## Prioritizing T and B Cell Targets

Diamond generates a prioritized list of cancer immunological targets for T cells and B cells.

These targets can be used to create therapies such as antibody therapies, T cell therapies, T cell receptor therapies, CAR T cell therapies and vaccine therapies.

## Identify Highly Expressed Genes

Diamond's cognitive and deep learning capabilities extract information from our extensive digital library consisting of clinical studies, genomic and proteomic datasets.

Diamond harmonizes all the raw data and creates datasets which allows us to screen for cancer targets.

Diamond will identify and prioritize lists of genes (biomarkers, wild type, mutant, isoform, neoepitope, etc.) that are highly and specifically expressed in the disease of interest while providing its distribution and methylation status across the entire patient population.

It also maps out the exact portion of the gene that will elicit an immune response.

## Perform Meta Analysis

Diamond performs meta-analysis and convolution studies while standardizing and normalizing data across multiple and variable experimental platforms, then allows for the visualization of consistent and accurate results in a user-friendly fashion.

## Predict Isoform Targets

Cancer cells will down regulate or shed targets in order to avoid detection and destruction by T cells (the immune system).

These variations are known as isoforms.

CancerSplice also shows a box plot by tissue of expression of the isoform in normal cancer genome atlas tissues and a box plot of the matching isoform in genotype-tissue expression program normal data.

The sequence of amino acids that are specific for the selected cancer isoforms are then directly fed to Diamond's artificial neural capsule network for peptide design and prioritization.





# Diamond AI CancerSplice™

A key A.I. Engine

Target isoforms are protein variants of the same targets that occur during the normal processing of immature gene transcripts to the mature form.

Target isoforms include variations in their primary amino acid sequence that can change both the final folded form of the target plus their ability to be recognized by modified T cells (autologous/allogeneic) and other cells, such as NK or invariant NKT cells (often used in the allogeneic setting).

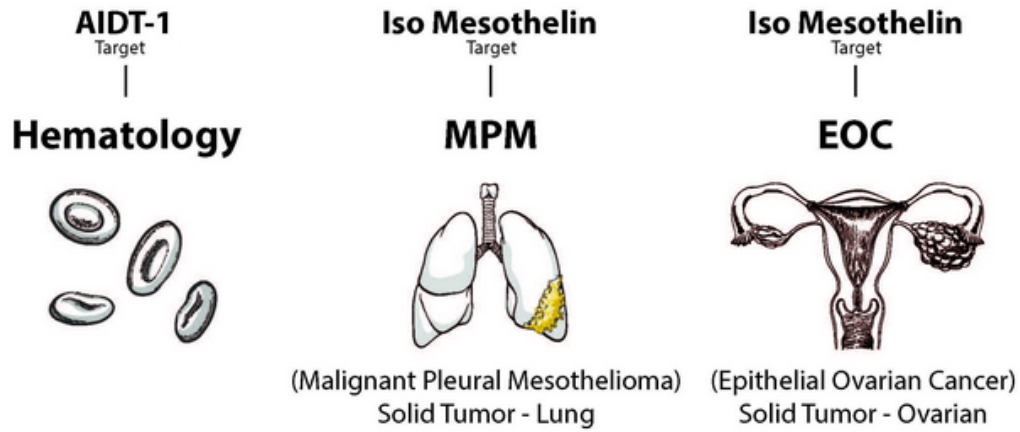
If they are the predominate form on the cell surface, these isoforms can make it impossible for T cells to outright bind the targets on cancer cells.

No binding or insufficient binding to the isoform results in no killing of cancer cells.

Our CancerSplice accurately predicts the most appropriate isoforms for T cells to bind and destroy cancer cells.

# Targets Which We Have Identified

How our identified targets are developed into therapies for live drugs to treat cancer



# Indications: By the Numbers



## Ovarian Cancer

**300,000**  
Worldwide number of patients  
*American Cancer Society*

**21,750**  
2018 new cases in the USA  
*American Cancer Society 2018*

**\$1.2 BLN** in 2018  
*Grand View Research (July 2019)*



## MPM - Lung Cancer

**43,000**  
Worldwide number of patients  
*American Cancer Society*

**3,000**  
Annually in the USA  
*American Cancer Society 2018*

**\$300 M** by 2025  
*Persistent Market Research, Jul 2017*



## Hematological Cancers

**200,000**  
Worldwide number of patients  
*American Cancer Society*

**30,000**  
Annual Diagnosis in the USA  
*American Cancer Society 2018*

**\$4.6 BLN** by 2025  
*BIS Research, Nov 2019*

# Our Pipeline



	In vitro validation	Pre clinical	IND	Phase 1	Phase 2	Phase 3
Alexis (γδ-T cells) Allogenic / Iso-Mesothelin EOC (Solid, Ovarian)	██████████					
Alexis (γδ-T cells) Allogenic / Iso-Mesothelin MPM /Pleural mets (Solid, Pleural)	██████████					
Alexis (γδ-T cells) Allogenic / AIDT-1 (Hematologic Indications)	██████████					
chPD-1 check point activator (Solid Tumors)	██████████	██████████				

## chPD-1 Check-Point Activation

Checkpoint inhibitors block PD-1 and PD-L1.

Our chPD-1 (chimeric PD-1) takes it one step further by converting PD-1 and PD-L1 from an inhibitory signal to an activation signal.

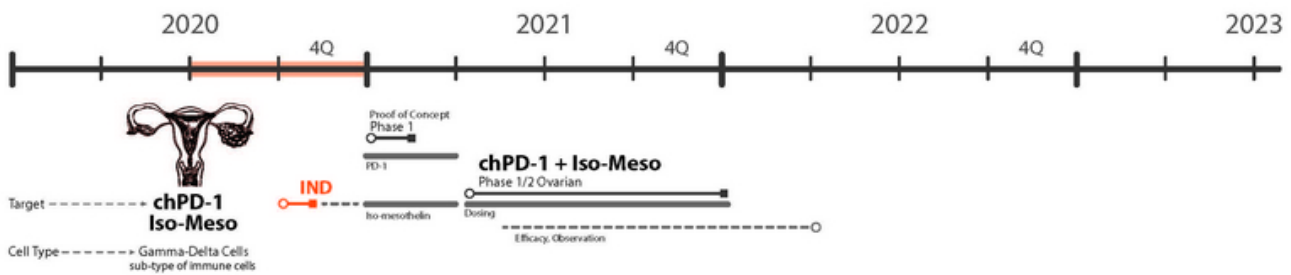
This pivotal CAR transformation allows our CAR T-cells to then kill solid tumors and TME (tumor micro environment).

## Isoform Mesothelin

This is our lead target candidate which came out of our Artificial Intelligence Prediction Engine.

Isoform targets are highly expressed on cancer cells while very lightly expressed on normal (healthy) cells.

# Clinical Programs



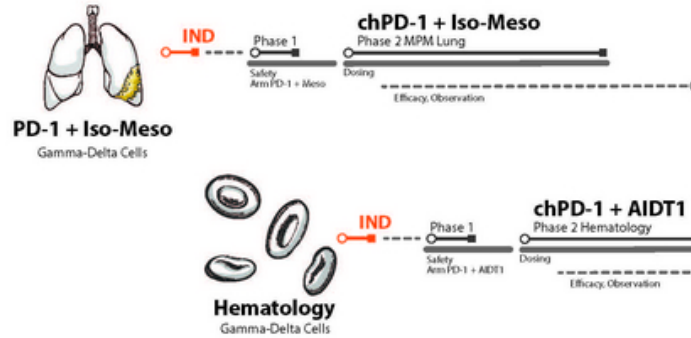
## Solid Tumors: PD-1's Role

Classic CAR-T therapies are currently not being used in solid tumors. Solid tumors have PD-1s in their micro tumor environment. PD-1 put the "brakes" on T and NK Cells' killing of antigens (tumor cancer cells).

Our chPD-1 (chimeric PD-1) takes it one step further by converting PD-1 and PD-L1 from an inhibitory signal to an activation signal.

This pivotal CAR transformation allows our CAR T-cells to then kill solid tumors and TME (tumor micro environment).

NASDAQ: KRBP



# ADVANCING CAR through A.I.

Our Therapeutic Products

## Allogenic CAR

Immuno CAR-GD-T Therapy

in solid tumors

# Allogenic Engineered Immune Therapy

## Step 01: Fractionation



### Healthy Donor

Screening shows donor  
has healthy  
Gamma-Delta T cells



### Whole Blood



### Fractionation

Gamma-Delta T cells  
extracted

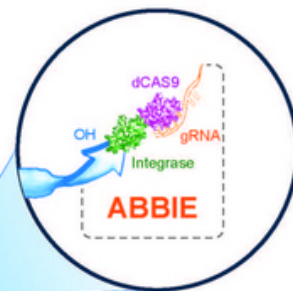
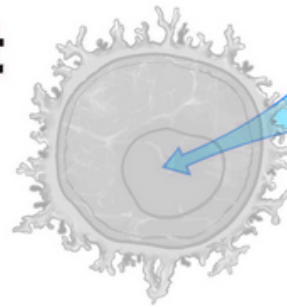


# Allogenic Engineered Immune Therapy

## Step 02: Genome Edit

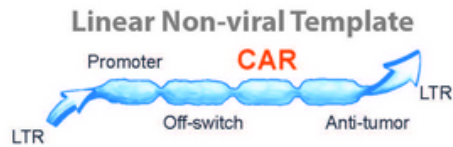
### Genome Edit

Gamma-Delta T cells

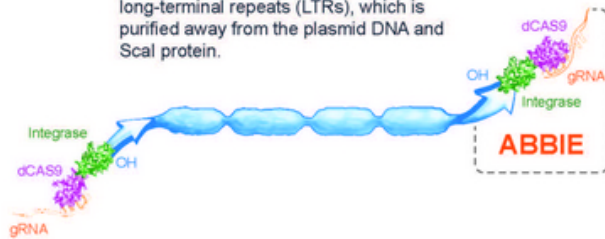


Proprietary  
Gene Edit Mechanism

# ABBIE Gene Editing Technology

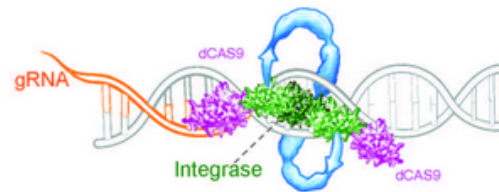


**Figure 1.** Our ABBIE gene-editing technology begins with the transgene template plasmid. Plasmid DNA is cut with restriction enzyme, *ScaI*, liberating the transgene template along with the retroviral-derived long-terminal repeats (LTRs), which is purified away from the plasmid DNA and *ScaI* protein.

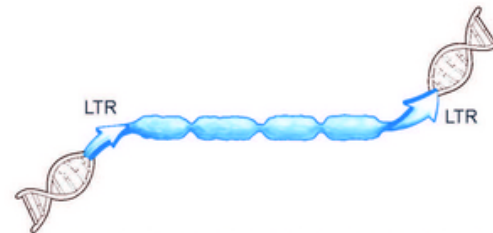


**Figure 2.** The ABBIE integrase, derived from HIV, is added, which binds to the LTRs and exposes a reactive 3'-OH group on each end.

NASDAQ: KRBP



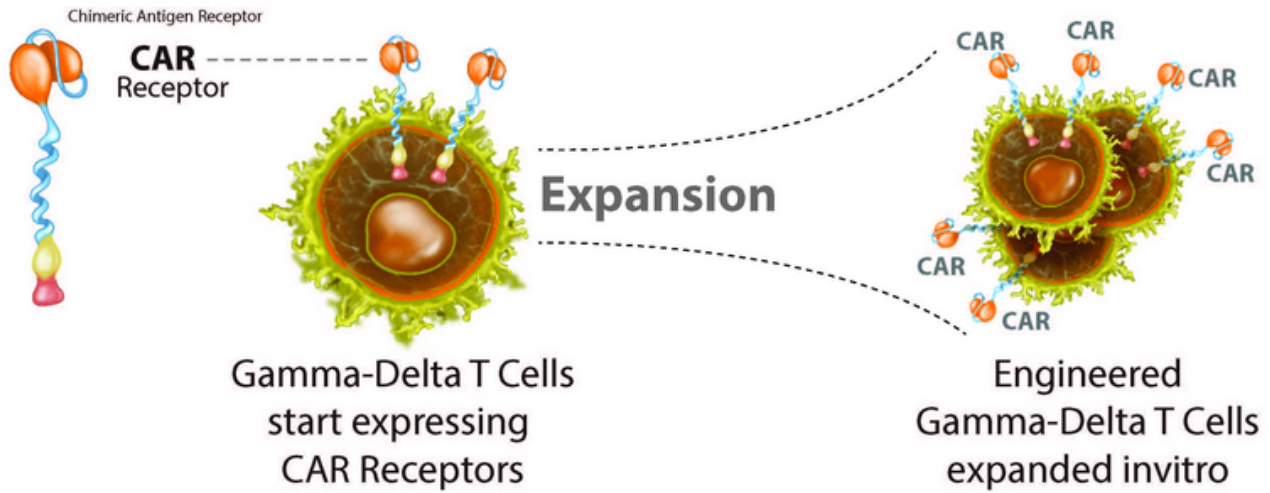
**Figure 3.** The guide RNA (gRNA) tethers ABBIE-bound template to the target site via DCas9, and Integrase helps to attach the exposed 3'OH groups to the target site on both strands without causing a dsDNA break.



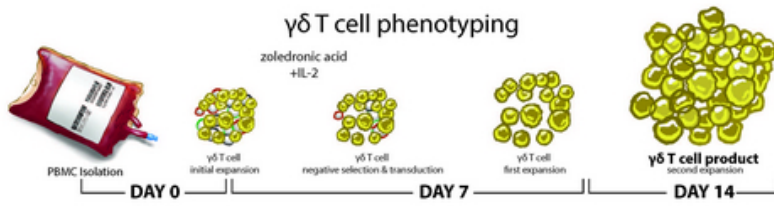
**Figure 4.** Following stable integration of the template into the target DNA locus, a short DNA duplication is present on each end.

# Allogenic Engineered Immune Therapy

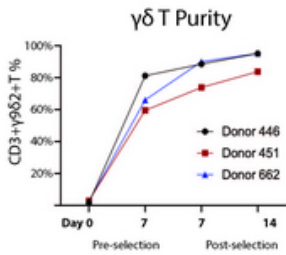
## Step 03: Gamma-Delta T Cells expanded invitro



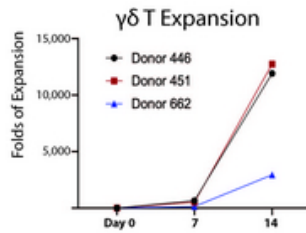
# How We Know: GD-T cell Expansion Works



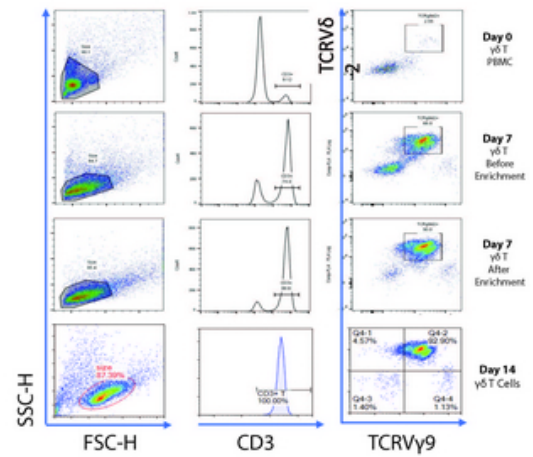
A large fold of expansion of highly pure  $\gamma\delta$  T cells during in vitro stimulation, culture, isolation and expansion process.



The percentage of CD3+ $\gamma\delta$ 2+ T cells over 14-day culture.



The expansion fold of CD3+ $\gamma\delta$ 2+ T cells with our method.



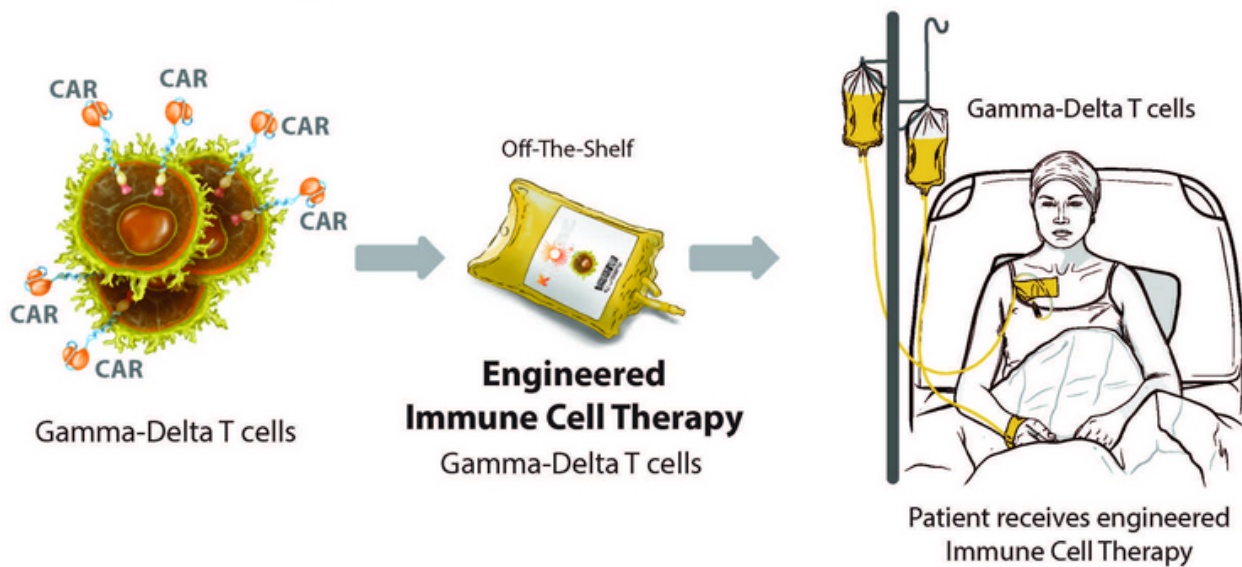
## CONCLUSION

Our method of  $\gamma\delta$  T expansion yield highest 12,000-fold expansion of  $\gamma\delta$  T cells, which is over 95% purity for positive for CD3,  $\gamma\delta$ 9, and  $\delta$ 2.

This has potential to produce enough number  $\gamma\delta$  T for clinical use.

# Allogenic Engineered Immune Therapy

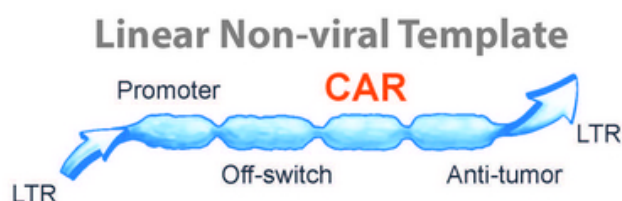
## Step 04: GD-T Cells infused into Patient



# Up-Armoring

Accessory proteins can “up-armor” cellular therapies

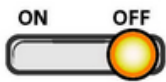
## Strategic choice of proteins to improve cellular function and neutralize anti-immune responses



- \* Activated signaling molecules chosen to enhance cell persistence by stimulating cytokine pathways
- \* Targeting the immunosuppressive “reactive” stroma can enable tumor targeting by therapeutic cells while increasing anti-tumor efficacy



# Switches



## ACTIVATION Switch

A rapidly deployed activation switch can provide a survival and proliferation signal to the therapeutic cells to enhance their efficacy and persistence in vivo.



## ATTENUATION Switch

A rapidly deployed attenuation switch can intercept activation signals transiently to minimize toxicity following successful anti-tumor interactions. Choice of two non-mutually exclusive Attenuation Switch approaches:

- (a) a protein-based switch that rapidly triggers attenuation of target cells in a dose-dependent fashion.
- (b) a small molecule-based approach to rapidly and reversibly attenuate cell signaling.



## SAFETY Switch

A rapidly deployed, protein-based safety switch can eliminate therapeutic cells in case of acute toxicity. The safety switch is designed to eliminate either:

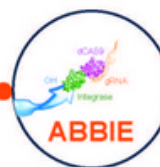
- (a) essentially all active therapeutic cells.
- (b) only the most active cells, preserving a cohort of backup therapeutic cells for long-term control of residual relapsing tumor cells.

The Safety Switch will be co-expressed along with the bioactive chimeric activation receptor (CAR), the Activation Switch, and the Attenuation Switch.





We are connecting the dots in cancer research by using AI and machine learning to connect silos of informations and arrive at cancer targets which will be more effective vs. classic development, saving man-years and billions in development dollars.



### Non-Viral Genome edit and delivery

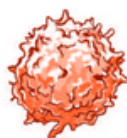
Our single-cut gene edits carry a lower mutagenesis risk vs. classic double-cut gene edits.

Our CAR receptors will also have higher safety with an on-demand cut-off switch vs. classic CAR therapies with no off-switch.

# Value Drivers

Revolutionizing Next-Gen Allogenic CAR Therapy for Solid Tumors

Our CAR Therapy will be using off-the-shelf Gamma-Delta T-cells and will have a higher yield and significantly lower yield variability vs. classic CAR-T therapies.



**Gamma Delta T-cell**  
Immune Cell Type



Solid Tumor  
**Micro Tumor Environment**

Our CARTherapies will be able to access the micro tumor environment due to our chPD-1 check-point activator vs. classic CAR-T therapies.

Classic CAR-T are limited to hematologic indications.

# Comparables

## A.I. Targets + Small Molecules

**SCHRÖDINGER** **\$4.18 BLN**  
*IPO Feb 2020*

 **BLACK DIAMOND THERAPEUTICS** **\$1.14 BLN**  
*IPO Jan 2020*

 **bioRxcel THERAPEUTICS** **\$1.04 BLN**  
*IPO Mar 2018*

 **Lantern Pharma** **\$110.7 M**  
*IPO Jun 2020*

## CART and CAR-NK

 **Fcete THERAPEUTICS** **\$4.20 BLN**  
*Public*

 **NEKT** **\$3.10 BLN**  
*Public*

 **Kite Pharma** **\$11.9 BLN**  
*Acquired*

 **JUNO THERAPEUTICS** **\$9.0 BLN**  
*Acquired*

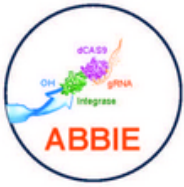


## THANK YOU

**7707 Fannin Street, Suite 140  
Houston, Texas 77054  
+1 (806) 368 - 6731**

**[ttontat@kiromic.com](mailto:ttontat@kiromic.com)**

# Intellectual Property



**Pending**

PCT/US2016/025426: CAS 9 Retroviral Integrase and CAS 9 Recombinase Systems for Targeted Incorporation of a DNA Sequence into a Genome of a Cell or Organism



**Granted**

9149441: Nanospheres Encapsulating Bioactive Material and Method for Formulation of Nanospheres

**Pending**

15/731,143: Platform for Identification of Tumor-Associated Cancer/Testis Antigens

PCT/US20/35183: Methods for Identifying and Using Diseases-Associated Antigens

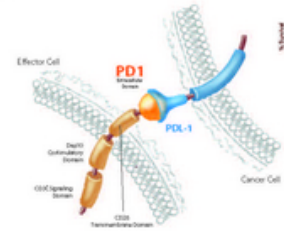
15/530,964: Anti-Human/Mouse Sperm Protein 17 (SP17) Antibody and Derivatives Thereof

PCT/US2017/022168: Compositions and Methods for Treating Cancers

15/932,396: CdS Quantum Dot-Chitosan-Anti-SP17 NanoHybrid as a Potential Cancer Biomarker

PCT/US2015/061703: Novel Nanoparticle—Based Vaccine Targeting Cancer/Testis Antigens (CTA) and its' Use in Solid and Hematological Malignancies

10004790: Nanospheres Encapsulating Bioactive Material and Method for Formulation of Nanospheres



**Pending**

PCT/US2018/052799: PD1-Specific Chimeric Antigen Receptor as an Immunotherapy

**Switch Technology  
Pending**

Provisional Patent Application No.: 63/039,364 - Tri Switch Technology for Multi-Dimensional Control of Cell Therapy

**Gamma-Delta T-cells  
Pending**

63/048,488. Mesothelin Isoform Binding Molecules and Uses Thereof

NASDAQ: KRBP



# Directors

Tony Tontat

Maurizio Chiriva-Internati, PhD

Gianluca Rotino

## *Independent (Board Nominee)*

### **Jerry Schneider, JD, MBA**

Mr. Schneider has been nominated to serve on our Board of Directors.

He currently serves on the board of directors and audit committee for Cognex, a provider of vision systems, software, sensors, and industrial barcode readers used in manufacturing automation since 2016. Cognex (CGNX) is publicly traded on the Nasdaq stock exchange. He serves on other for-profit and non-profit boards. Mr. Schneider received his Juris Doctor from Loyola Law School, and a B.S. in Accounting from the University of California at Berkeley. He has experience of being a "financial expert" appointed by the U.C. Regents which oversee the University of California's budget of over \$30M.

## *Independent (Board Nominee)*

### **Michael Nagel**

Mr. Nagel has been nominated to serve on our Board of Directors.

Mr. Nagel has over 30 years of sales and marketing experience in the medical device industry. Since 2012, Mr. Nagel has served as the President and CEO of Vomaris Innovations, Inc, which specializes in wireless microcurrent-generating technologies that are focused on regeneration, healing, and recovery. Previously, Mr. Nagel served as the Chief Commercial Officer of Neomend, a biomaterial company that developed ProGel, a PMA approved surgical sealant for lung surgery. From 1997 to 2005, Mr. Nagel also served as Co-Founder and Vice President of Worldwide Sales and Marketing at Vascular Solutions (VASC).

In addition to Mr. Nagel's executive experience, he also serves as a director for Franklin Mountain Medical LLC, an early stage company in the structural heart market. Mr. Nagel holds both a B.A. in Business and a M.B.A. from the University of St. Thomas.

## *Independent*

### **Americo Cicchetti, PhD**

Dr. Cicchetti has served as a member of our board of directors since March 2020. Dr. Cicchetti has served as a Professor of Management at Universit a Cattolica del Sacro Cuore, Faculty of Economics, Rome since 2006. He is also currently the Director of the Graduate School of Health Economics and Management at Universit a Cattolica del Sacro Cuore. In addition to his academic experience, Dr. Cicchetti was a member of the Price and Reimbursement Committee of the Italian National Drug Agency from 2009-2015. He is a member of the European Network of Health Technology Assessment; Member of the Innovation Steering Group of the National HTA Program for Medical Devices (Ministry of Health, Italy); Member of the National Immunization Technical Advisory Group at the Ministry of Health, Italy since 2019; Member of the Health and Research Commission of the Rome Foundation since 2007; and a Member of the Board of Directors of the Health and Research Foundation since 2017.

Furthermore, Dr. Cicchetti is the Chief Executive Officer and Director for Molipharma, whose core business is the research and development of new drugs and diagnostics aimed at predicting, detecting and treating female oncological diseases. He also serves as an independent board member for Foundation Health and Research, and Leonida SICAF, a fixed capital investment company. He obtained his PhD in Management from University of Bologna, and his B.A. from University of Rome.

## *Independent*

### **Pietro Bersani, CPA**

Mr. Bersani has served as a member of our board of directors since June 2020. Since April 2020, Mr. Bersani is a Partner with B2B CFO Partners, LLC, which provides strategic management advisory services to owners of privately held companies. During October 2016 and July 2018, he served as the President, and Chief Executive Officer at K.P. Diamond Eagle, Inc., a consulting firm specialized in development of innovative commercial and private aviation business models. He also held the same positions at K.P. Diamond Eagle, Inc. between November 2019 and March 2020. He later served as a Senior Director within Alvarez & Marsal's Private Equity Performance Improvement Practice, LLP between August 2018 and October 2019. Prior to those professional experiences, Mr. Bersani served as the Chief Financial Officer of Fuel Systems Solutions, Inc. between April 2011 and October 2016.

Mr. Bersani is a Certified Public Accountant and is also a Certified Public Auditor and a Chartered Certified Accountant in Italy where he developed a significant knowledge of US GAAP and IFRS. Mr. Bersani earned a BA and MA in Business Economics from L. Bocconi University, Italy. Mr. Bersani was designated by certain holders of our Series B Preferred Stock. Except for the foregoing, there is no arrangement or understanding between any director or executive officer and any other person pursuant to which he was or is to be selected as a director.

NASDAQ: KRBP

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## Artificial Intelligence Neural Network

# 162 Internal Publications



**Chiriva-Internati M**, Cobos E, Cannon MJ. Editorial: Prospects and Challenges for Immunotherapy of Ovarian Cancer-What Can We Learn from the Tumor Microenvironment? **International Reviews of Immunology**. 2011;30(2-3):67-70.



Cannon MJ, Goyne H, Stone PJB, **Chiriva-Internati M**. Dendritic cell vaccination against ovarian cancer - tipping the Treg/T(H)17 balance to therapeutic advantage? Expert Opinion on Biological Therapy. 2011;11(4):441-445.



Wachtel MS, Zhang Y, Xu T, **Chiriva-Internati M**, Frezza EE. Combined hepatocellular cholangiocarcinomas; analysis of a large database. **Clin Med Pathol** 1:43-7. 2008



Mirandola L, J Cannon M, Cobos E, Bernardini G, Jenkins MR, Kast WM, **Chiriva-Internati M**. Cancer testis antigens: novel biomarkers and targetable proteins for ovarian cancer. *Int Rev Immunol*. Apr-Jun;30(2-3):127-37. doi: 10.3109/08830185.2011.572504. 2011

Bumm K, Zheng M, Bailey C, Zhan F, **Chiriva-Internati M**, Eddlemon P, Terry J, Barlogie B, Shaughnessy JD Jr. CGO: utilizing and integrating gene expression microarray data in clinical research and data management. **Bioinformatics**. 2002 Feb;18(2):327-8.2002

**Maurizio Chiriva-Internati**, Leonardo Mirandola, Franco Marincola, Gianluca Rotino, Jose A. Figueroa, Fabio Grizzi, and Robert Bresalier The Quest for the Next-Generation of Tumor Targets: Discovery and Prioritization in the Genomics Era. Springer, Published 2019 ( chapter for a book "immune-Oncology: Cellular and Translational Approaches" 2019

**Maurizio Chiriva-Internati** & Adrian Bot. New Era in Cancer Immunotherapy: Discovering Novel Targets and Reprogramming the Immune System. **International Reviews of Immunology**, 34:2, 101-103. 2015

Grizzi F, Gaetani P, Tancioni F, Di Ieva A, Bollati A, Baena R, Dioguardi N, and **Chiriva-Internati M**. From Discovery to the Clinical Application In Nervo System Neoplasia. In: Tumor Associated Antigens, 2004.

Figueroa AJ ,Pena C ,Mirandola L, , Reidy A , Payne D, Hosiluck N, Suvorava N, Rahman LR , Whitlow AR, Verma R , Cobos E and **Chiriva-Internati M**. "Therapeutic Monoclonal Antibodies and Their Targets" by Wiley Production\_Biosimilars of Monoclonal Antibodies: **A Practical Guide to Manufacturing, Preclinical and Clinical Development, First Edition**. Edited by Cheng Liu and K. John Morrow Jr. © 2017 John Wiley & Sons, Inc. Published 2017.

Grizzi F, Russo C, Portinaro N, Hermonat PL, **Chiriva-Internati M**. Complexity and cancer. **Gastroenterology**. 2004 Feb;126(2):630-1; author reply 631-2. PubMed PMID: 14765401.2004

## ABBIE: Non-Viral Genome Editing Mechanism

ABBIE is a novel gene-editing system for inserting therapeutic genes safely into the genome of a host cell.

ABBIE technology comprises two main components,

(i) a genome template (extracted from the ALEXIS plasmid), containing the therapeutic genes needed to retrain tumor-killing cells, and

(ii) the gene-editing machinery required to safely insert this template into the genome of the therapeutic cells.

The ABBIE protein accompanies the CAR-containing genome template as it passes through the cell membrane into the nucleus and guides the template-flanking sequences (the “glue”) safely into the target genome.

Due to this targeting ability, ABBIE can also be used to remove unwanted, inhibitory genes. CAR expression on the Gamma-Delta T cells allows them to detect and destroy the antigen-expressing targeted cells.

The OFF switch permits fast shutdown in the event of an unexpected toxicity. Additional Anti-tumor factors can help neutralize the toxic tumor microenvironment.