FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Washington, D.C	. 20549
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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL									
OMB Number: 3235-0									
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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

Name and Address of Reporting Person*     Catlin Michael				<u>Ki</u>	2. Issuer Name and Ticker or Trading Symbol Kiromic Biopharma, Inc. [ KRBP ]						(Ch	Relationship eck all appli X Directo	•	erson(s) to Iss 10% O		
(Last)	(Fi	rst) (	(Middle)		3. Date of Earliest Transaction (Month/Day/Year) 10/05/2023								Officer below)	(give title	Other ( below)	specify
C/O KIROMIC BIOPHARMA INC. 7707 FANNIN, SUITE 140				4. 11	4. If Amendment, Date of Original Filed (Month/Day/Year)						Line	Individual or Joint/Group Filing (Check Applicable Line)     X Form filed by One Reporting Person				
(Street) HOUSTON TX 77054													Form f Persor	iled by More th า	nan One Repo	rting
(City) (State) (Zip)					cule 10b5-1(c) Transaction Indication  Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10.											
	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned															
Date			ransaction e nth/Day/Ye	Execution Date		Code (Instr. 5)			Benefici	es Fo ally (D Following (I)	m: Direct or Indirect Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)				
								Code	Amo	ount	(A) oi (D)	Price	Transac (Instr. 3	tion(s) and 4)		, l
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security		3A. Deemed Execution Date if any (Month/Day/Ye	Code (				6. Date Exercisable and Expiration Date (Month/Day/Year)		nd	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership t (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expirati Date	ion	Title	Amount or Number of Shares				
Restricted Stock Units	(1)	10/05/2023		A		7,500		10/05/2023	(1)		Common Stock	7,500	\$0	7,500	D	

## **Explanation of Responses:**

1. The Reporting Person was granted restricted stock units ("RSUs"), which represent a contingent right to receive one share of Common Stock for each RSU.

/s/ Michael Catlin 10/06/2023

\*\* Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.